

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Malitzis et al. Art Unit : 3628  
Serial No. : 09/812,225 Examiner : Harbeck, Timothy  
Filed : March 19, 2001 Conf. No. : 9467  
Title : ODD LOT PROCESSING IN CENTRALIZED AUTOMATED MARKET SYSTEM

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

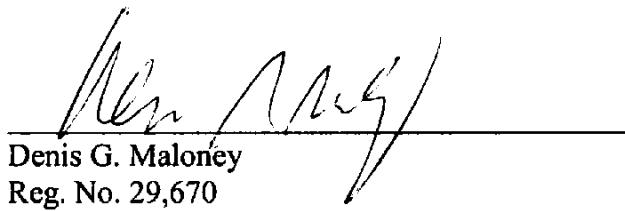
**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please charge the \$180 late submission fee of .§1.17(p) to Deposit Account No. 06-1050. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/23/07

  
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